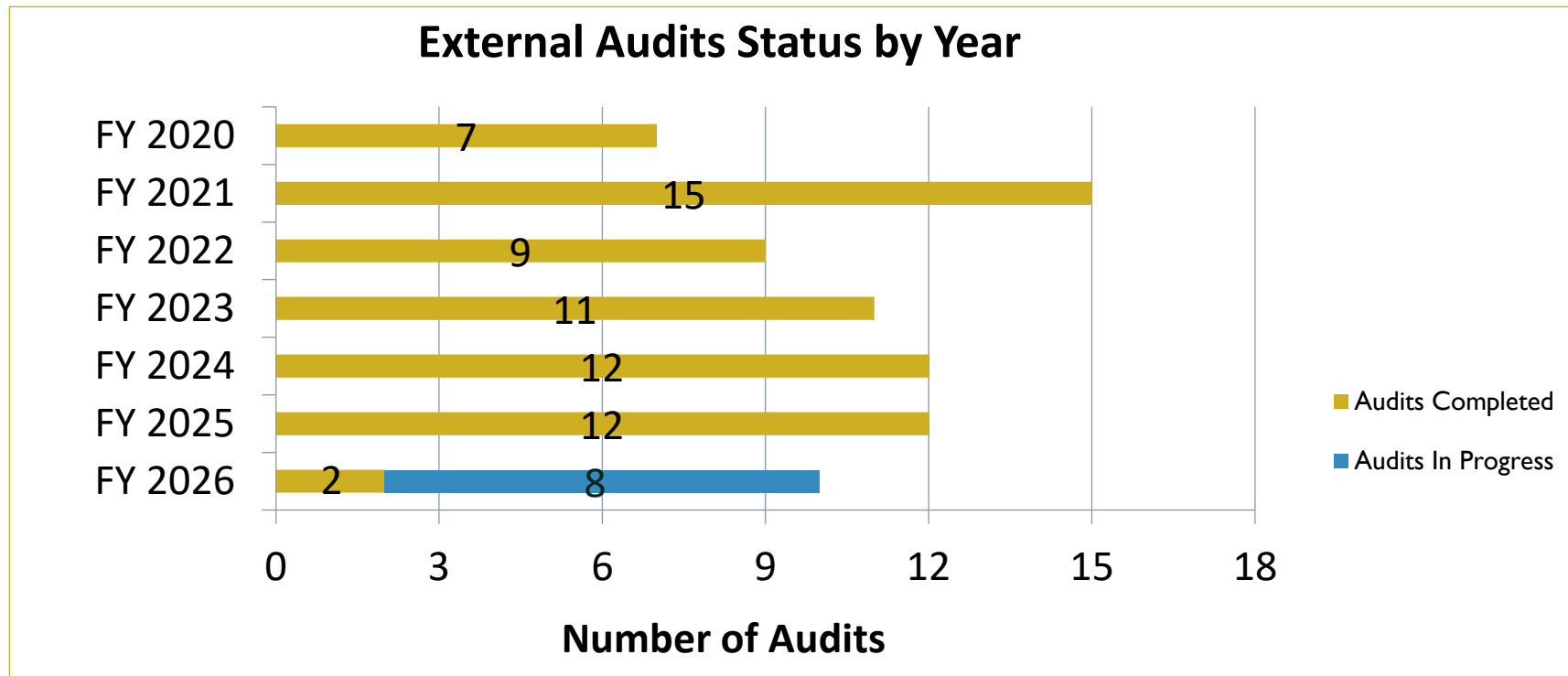


Quarterly External Audit and Remediation Status

Presented By
Trevor Williams, Chief Financial Officer
July 28, 2026

External Audit Activity (FYs 2020-2026)

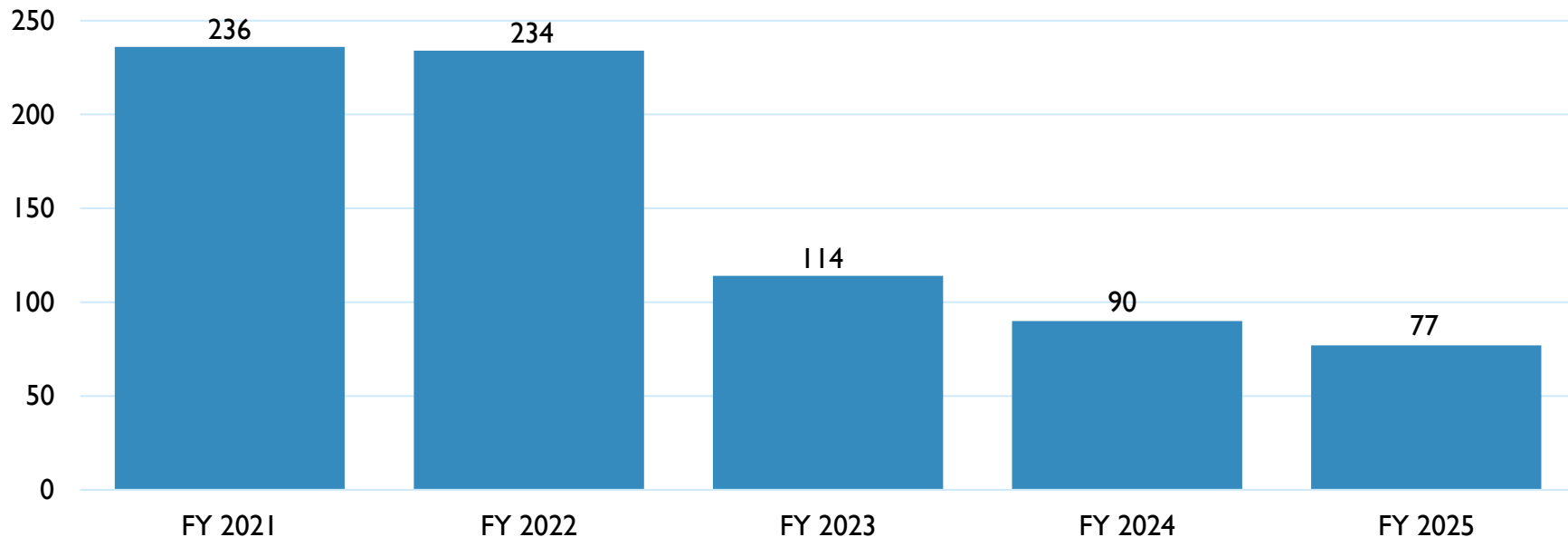


External Audit Status

Audits Completed (FY 2026)	Audits in Progress (FY 2026)	Audits Planned (FY 2026)
1. Annual Financial Statement Audit (CY 2025) 2. Mobile Device and Security Governance Review	1. Participant Support/Contact Center Operations 2. Service Provider TSP Operations – Interior Business Center 3. Participant Website Controls 4. Annuity Operations 5. Treasury G Fund Investment Operations 6. Loan Operations 7. Account Maintenance 8. FISMA (FY 2026)	Auditor Legend EBSA Sikich Williams Adley

Open External Auditor Recommendations

FY 2021 – FY 2025



Audit activity includes external audit results from Dept. of Labor (EBSA), Financial Statement Audit, GAO reviews, and FISMA.

External Auditor Recommendations

FY 2026 Q2 Activity



Audit activity includes external audit results from Dept. of Labor (EBSA), Financial Statement Audit, GAO reviews, and FISMA.

Questions

